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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: 01662/50308

Application Number 09/997,126

Filing Date

November 29, 2001

Examiner Fiona Powers Art Unit 1626

Invention Title

Novel Crystal Forms of Atorvastatin Hemi-Calcium and Processes for their Preparation as Well as Novel **Processes for Preparing Other Forms**

Inventor(s)

ARONHIME et al.

Address to:

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

Date:

Signature:

- 1. In accordance with the duty of disclosure under 37 C.F.R. § 1.56 and in conformance with the procedures of 37 C.F.R. §§ 1.97 and 1.98 and M.P.E.P. § 609, attorneys for Applicants hereby bring the attached references to the attention of the Examiner. These references are listed on the attached modified PTO Form No. 1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. The filing of this Information Disclosure Statement and the enclosed PTO Form No. 1449, shall not be construed as an admission that the information cited is prior art, or is considered to be material to patentability as defined in 37 C.F.R. * 1.56(b).
- 2. Copies of the patents, publications or other information listed on the modified PTO form 1449 are enclosed unless indicated otherwise.
- 3. The Commissioner is hereby authorized to charge payment of the 37 C.F.R. *1.17(p) (submission of an information disclosure statement under *1.97(c)) fee of \$180.00 and any additional fees that may be required to the deposit account of Kenyon & Kenyon, deposit account number 11-0600.

4. A copy of this communication is enclosed for charging purposes.

Dated:

APRIL 15,2005

CUSTOMER NUMBER 26646

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT PTO-1449

ATTY. DOCKET NO. 1662/50308	SERIAL NO. 09/997,126
APPLICANT ARONHIME et al.	
FILING DATE	GROUP
November 29, 2001	1626

U. S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	PATENT DATE	NAME	CLASS	SUBCLASS	FILING DATE
	5,686,104	May 20, 1994	Mills et al.			
	6,605,636	November 5, 2001	Aronhime et al.			
	6,605,728	June 14, 2002	O'Connell et al.			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLA	TION
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						YES	NO
	WO 97/03960	July 16, 1996	PCT			х	
•	WO 02/41834	November 5, 2001	PCT			х	<u> </u>
	WO 02/43732	November 29, 2001	PCT			х	
	WO 02/057228	January 17, 2001	PCT		· - · · · · · · · · · · · · · · · · · ·	х	
	WO 02/057229	January 19, 2001	PCT			х	

OTHER DOCUMENTS

EXAMINER INITIAL	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
	Rouhi, Chem. & Eng. News, February 24, 2003, pp. 32-35
	Cheronis, Semimicro Experimental Organic Chemistry, pp. 31-49
	Haleblian & Crone, 1969. J. Pharm. Sci.58:911-929
	David J. W. Grant, Theory and Origin of Polymorphism, in Drugs of the Pharmaceutical Sciences, vol. 95, Polymorphism in Pharmaceutical Solids, Chapter 1, (Harry G. Brittain ed., 1999)
	Wall, Pharmaceutical Manufacturing, February 1986, pp. 33-42
	U.S. Pharmacopia #23, p. 1843, 941 X-Ray Diffraction
	2004/0054193, Published US Patent Application, March 18, 2004, Byrn et. al.
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	2003/0114686, Published US Patent Application, June 19, 2003, Van der Schaaf et. al.
	2004/0106670, Published US Patent Application, June 3, 2004, Blatter et. al.
	2003/0216584, Published US Patent Application, November 20, 2003, Aronhime et. al.
	10/994,142, Pending US Patent Application, November 19, 2004, Aronhime et. al.
	2002/0115709, Published US Patent Application, August 22, 2002, Aronhime et al.

EXAMINER	DATE CONSIDERED				
EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and					
not considered. Include copy of this form with next communication to applicant.	-				